IAP15 Rec'd PCT/PTO 2 6 MAY 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   | TTAL LETTER TO  | NL 031414                                      |  |  |  |  |  |
|---|---|--|--|--|--|--|--|
|   | NATED/ELECTED<br>ING A SUBMISSIC  | U.S. APPLICATION NO. (DIMONWE, SEE 37 CFR 1.5) |  |  |  |  |  |
| INTERNATIONAL A<br>PCT/IB2004/052:  |   | INTERNATIONAL FILING DATE<br>November 24, 2004 | PRIORITY DATE CLAIMED December 1, 2003 |  |  |  |  |
| TITLE OF INVENTION AUDIO CODING   |   |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |   |  |  |  |  |  |  |
| Andreas Johannes GERRITS; Albertus Cornelis DEN BRINKER; Felip RIERA PALOU  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |  |  |  |  |  |  |
| 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |  |  |
| 2. This is a S  | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.   |  |  |  |  |  |  |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  |   |  |  |  |  |  |  |
| 4. The US ha  | The US has been elected (Article 31).   |  |  |  |  |  |  |
| .;5. ✓ A copy o   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |  |  |  |  |  |
| а. 🗌  | a. is attached hereto (required only if not communicated by the International Bureau).  |  |  |  |  |  |  |
| b. 🗸  | b.  |  |  |  |  |  |  |
| ' c. □  | is not required, as the applic  | cation was filed in the United States Receivi  | ing Office (RO/US).                    |  |  |  |  |
| 6. An Engli   | sh language translation of the  | e International Application as filed (35 U.S.C | C. 371(c)(2)).                         |  |  |  |  |
| а. Ц  | is attached hereto.   |  |  |  |  |  |  |
| ь. Ц  | has been previously submit  | tted under 35 U.S.C. 154(d)(4).                |  |  |  |  |  |
| 7. Amendr   | ents to the claims of the Inte  | emational Application under PCT Article 19 (   | 35 U.S.C. 371(c)(3))                   |  |  |  |  |
| a. 📙  | are attached hereto (requi  | red only if not communicated by the Interna-   | tional Bureau).                        |  |  |  |  |
| ь. Ц  | b. have been communicated by the International Bureau.  |  |  |  |  |  |  |
| c. 🗀  | c. have not been made; however, the time limit for making such amendments has NOT expired.  |  |  |  |  |  |  |
| d. 🗹  | have not been made and v  | will not be made.                              |  |  |  |  |  |
| 8. An Engl  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |  |  |
| 9. An oath  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |  |  |  |  |  |
|   | sh language translation of the (35 U.S.C. 371(c)(5)).   | e annexes of the International Preliminary E   | xamination Report under PCT            |  |  |  |  |
| Items 11 to 20 I  | elow concern document(s   | e) or information included:                    |  |  |  |  |  |
| 11. An Inform   | nation Disclosure Statement   | under 37 CFR 1.97 and 1.98.                    |  |  |  |  |  |
| =   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |  |  |  |  |  |
| 13. A prelimi   | A preliminary amendment.  |  |  |  |  |  |  |
| 14. An Appli  | An Application Data Sheet under 37 CFR 1.76.  |  |  |  |  |  |  |
| _   | A substitute specification.   |  |  |  |  |  |  |
| 16. 🗹 A power   | A power of attorney and/or change of address letter.  |  |  |  |  |  |  |
| 17. A compu   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.   |  |  |  |  |  |  |
| 18. A second  | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |
| _   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  Express Mail Certificate; PTO/SB/96; Receipt Confirmation Postcard |  |  |  |  |  |  |
| 20. 🗹 Other iter  | ns or information:  | ian Commonte, F10/5B/90, Receipt Col           | mmanon fusicalu                        |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## 'AP9 Rec'd PCT/PTO 2 6 MAY 2006'

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| U.S. APPLICA   | 10758   | 0 6 7 <b>6</b>     | PCT/IB2004/052539  | PPLICATION NO. | NL 031414              | CKET NUMBER  |
|--|---|--------------------|--|----------------|------------------------|--------------|
| The following fees have been submitted   |   |                    |  |                | CALCULATIONS           | PTO USE ONLY |
| 21. 📝 Basic national fee\$300  |   |                    |  |                | \$ 300.00              |              |
| If International p<br>PCT Article 3:   | nination fee<br>oreliminary examin<br>3(1)-(4)                          | \$ 200.00          |  |                |                        |              |
| Search fee (37 C<br>International Sea  | rch fee<br>CFR 1.445(a)(2)) h<br>nal Searching Au<br>arch Report prepar | \$ 400.00          |  |                |                        |              |
|  | TOTAL OF 21, 2  |                    |  |                | \$ 900.00              |              |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |   |                    |  |                |                        |              |
| Total Sheets   | Extra Sheets  |                    | additional 50 or fraction<br><b>p</b> to a whole number) | RATE           |                        |              |
| - 100 =  | /50 =   |                    |  | × \$250        | \$                     |              |
| Surcharge of \$13 claimed priority d   | 30.00 for furnishing<br>late (37 CFR 1.49                               | rom the earliest   | \$   |                |                        |              |
| CLAIMS   | NUMB  | ER FILED           | NUMBER EXTRA   | RATE           | \$                     |              |
| Total claims   | 22  | - 20 =             | 2  | x \$ 50        | \$ 100.00              |              |
| Independent clair  | ms 5  | - 3 =              | 2  | × \$200        | \$ 400.00              | 1            |
| MULTIPLE DEPE  | ENDENT CLAIM(S  | 6) (if applicable) |  | + \$360        | \$                     |              |
|  |   |                    |  | CALCULATIONS = | \$ 500.00              |              |
| Applicant cla  | ims small entity st   | atus. See 37 CFR   | 1.27. Fees above are reduc                               | ced by 1/2.    |                        |              |
|  |   | SUBTOTAL =         | \$ 1,400.00  |                |                        |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |   |                    |  |                | \$                     |              |
| TOTAL NATIONAL FEE =   |   |                    |  |                | \$ 1,400.00            |              |
|  | the enclosed ass<br>cover sheet (37 (                                   | \$ 40.00           |  |                |                        |              |
|  |   |                    | TOTAL F  | EES ENCLOSED = | \$ 1,440.00            |              |
|  |   |                    |  |                | Amount to be refunded: | \$           |
|  |   |                    |  |                | Amount to be charged:  | \$ 1,440.00  |
| a. A check in the amount of \$ to cover the above fees is enclosed.  |   |                    |  |                |                        |              |
| b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 1,440.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.   |   |                    |  |                |                        |              |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 . A duplicate copy of this sheet is enclosed.   |   |                    |  |                |                        |              |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.                       |   |                    |  |                |                        |              |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                                     |   |                    |  |                |                        |              |
| _  | RESPONDENCE   | Marin Son          | /_   |                |                        |              |
| Corporate Patent Counsel  Edward W. Goodman  |   |                    |  |                |                        |              |
| Philips Electronics North America Corporation  Edward W. Goo  NAME   |   |                    |  |                | unali                  |              |
| P.O. Box 3001 28,613   |   |                    |  |                |                        |              |
| Briarcliff Manor, NY 10510 REGISTRAT   |   |                    |  |                | N NUMBER               |              |

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10/580676 PAP9 Rec'd PCT/PTO 26 MAY 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

GERRITS et al.

NL 031414

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

AUDIO CODING

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| Typed Name              | Signature / /   |
|                         |   |